

Immune Checkpoint Inhibitors for Head and Neck Cancers

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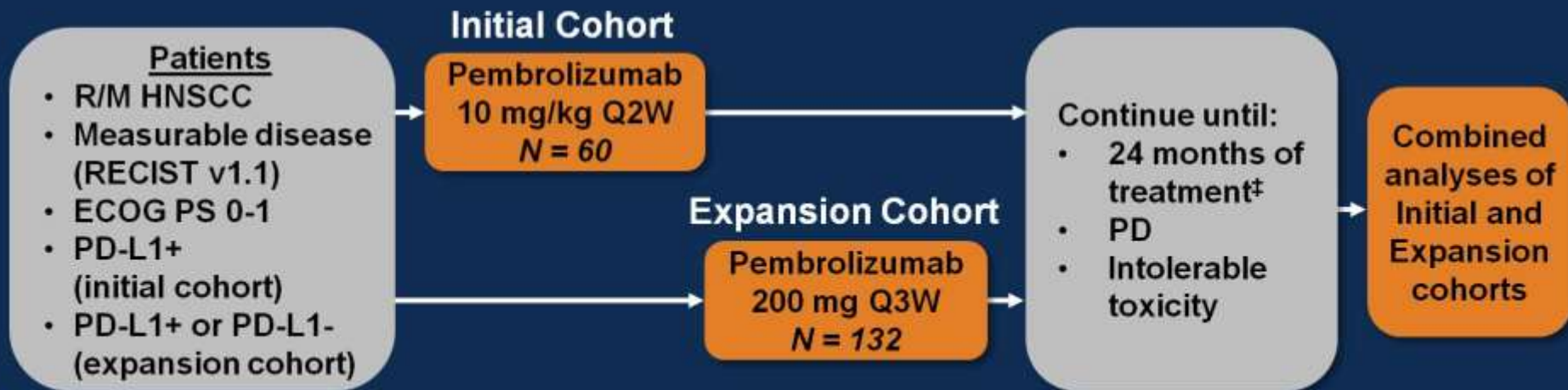
Outline

- Immunotherapy for recurrent / metastatic HNSCC
 - Keynote-012 clinical data (pembrolizumab)
 - Keynote-055 (pembrolizumab)
 - Keynote-012 biomarker data (pembrolizumab)
 - Keynote-040
 - Checkmate 141 (nivolumab)
- Immunotherapy for recurrent / metastatic NPC
 - Pembrolizumab and nivolumab

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HNSCC Cohorts of Nonrandomized, Phase 1b, Multi-cohort KEYNOTE-012 Trial†



Response assessment: Every 8 weeks

Primary end points: ORR (RECIST v1.1, central imaging vendor), safety

Secondary end points: ORR (investigator), PFS, OS, response duration, ORR in HPV+ patients§

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†Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer.

‡Treatment beyond progression was allowed.

§Initial cohort only.

Baseline Characteristics All HNSCC Patients

Characteristic	N = 192 [†] n (%)	Characteristic	N = 192 [†] n (%)
Median age (range), years	60 (20-84)	Median prior systemic therapies (range)	2 (0-7)
Male	159 (83)	Prior lines of systemic therapy [§]	
ECOG performance status		1	47 (24)
0	57 (30)	2	56 (29)
1	135 (70)	≥3	86 (45)
Metastatic stage M1	165 (86)	Prior platinum therapy	174 (91)
HPV status [‡]		Prior platinum and cetuximab therapy	110 (57)
Positive	45 (23)		
Negative	147 (77)		

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Data cutoff date: Apr 26, 2016. [†]Includes patients who received ≥1 dose of pembrolizumab in the initial or expansion cohort. [‡]HPV status was determined by the local institution. Cancers outside the oropharynx, identified from primary diagnosis/prior radiation/prior surgery, were considered HPV negative. [§]3 patients received 0 systemic therapies.

Overall Response Rate

Best Overall Response	Total N = 192 [†]			HPV+ n = 45 [‡]			HPV- n = 147 [‡]		
	n	%	95% CI	n	%	95% CI	n	%	95% CI
ORR	34	18	13–24	11	24	13–40	23	16	10–23
CR	8	4	–	4	9	–	4	3	–
PR	26	14	–	7	16	–	19	13	–
SD	33	17	–	7	16	–	26	18	–
PD	93	48	–	19	42	–	74	50	–
NA [§]	32	17	–	8	18	–	24	16	–

Data cutoff date: Apr 26, 2016. Response assessed per RECIST v1.1 (central imaging vendor review, all patients as treated). Only confirmed responses are included.

[†]Includes patients who received ≥ 1 dose of pembrolizumab in the initial or expansion cohort.

[‡]HPV status was determined by the local institution. Cancers outside the oropharynx, identified from primary diagnosis/prior radiation/prior surgery, were considered HPV negative.

[§]No assessment because patient did not have central imaging review data or images were not evaluable.

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Overall Response Rate by Prior Treatment

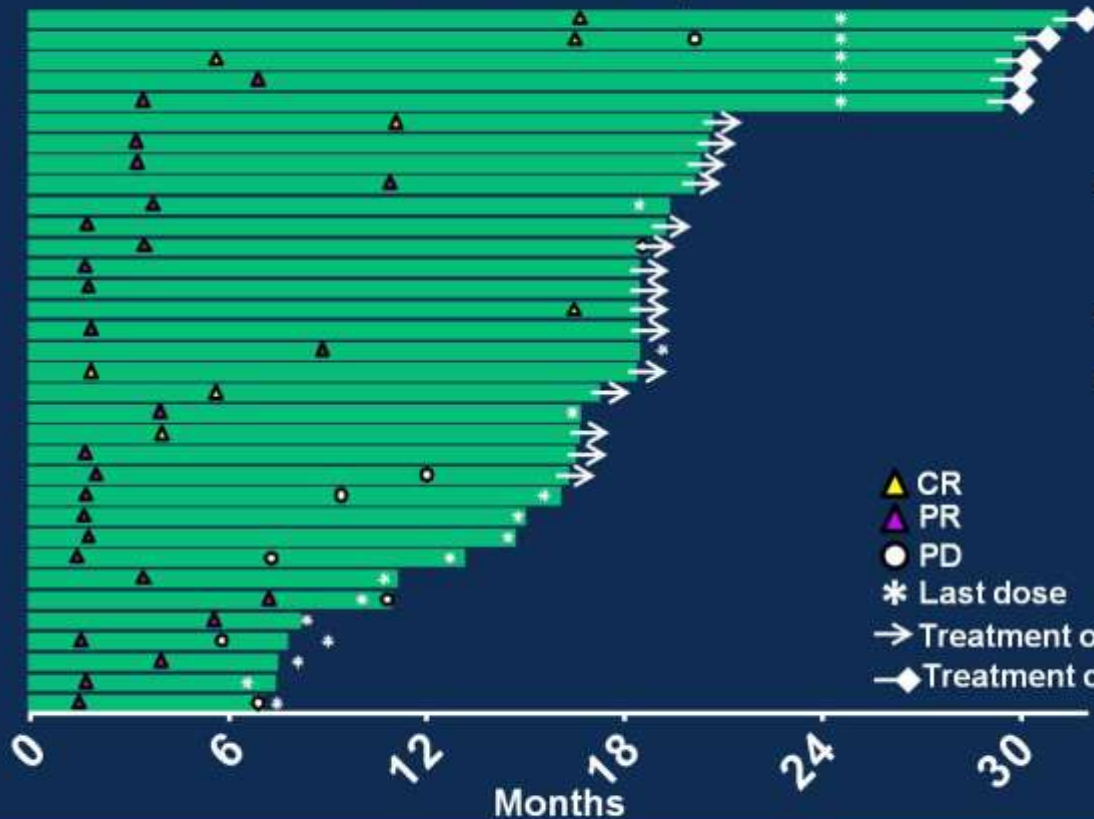
Best Overall Response	Prior Platinum n = 174			Prior Platinum and Cetuximab [†] n = 110		
	n	%	95% CI	n	%	95% CI
ORR	29	17	12–23	16	15	9–23
CR	8	5	–	5	5	–
PR	21	12	–	11	10	–
SD	31	18	–	18	16	–
PD	86	49	–	57	52	–
NA [‡]	28	16	–	19	17	–

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Data cutoff date: Apr 26, 2016. Response assessed per RECIST v1.1 (central imaging vendor review). Only confirmed responses are included. [†]Subset of "prior platinum" patients. [‡]No assessment because patient did not have central imaging review data or images were not evaluable.

Duration of Response in Responders



- Median time to response
– 2 months (range, 2–17)
 - 24% of responders had CR
 - 65% (22/34) of responders remain in response
 - 85% responses lasted ≥ 6 months
 - 71% responses lasted ≥ 12 months
- ▲ CR
 ▼ PR
 ● PD
 * Last dose
 → Treatment ongoing
 ◆ Treatment completed; follow-up ongoing

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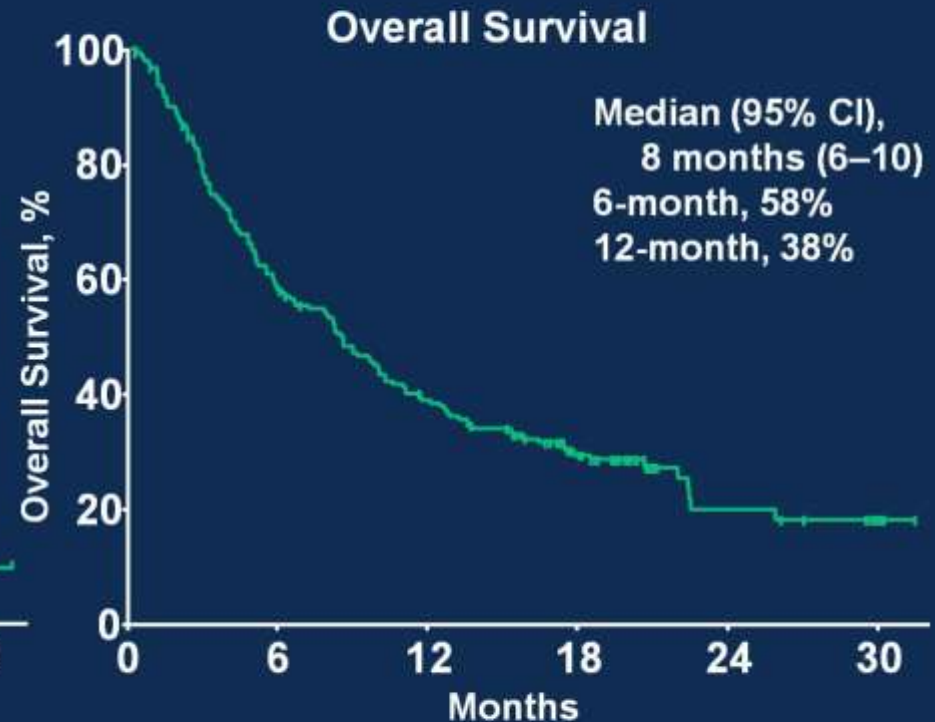
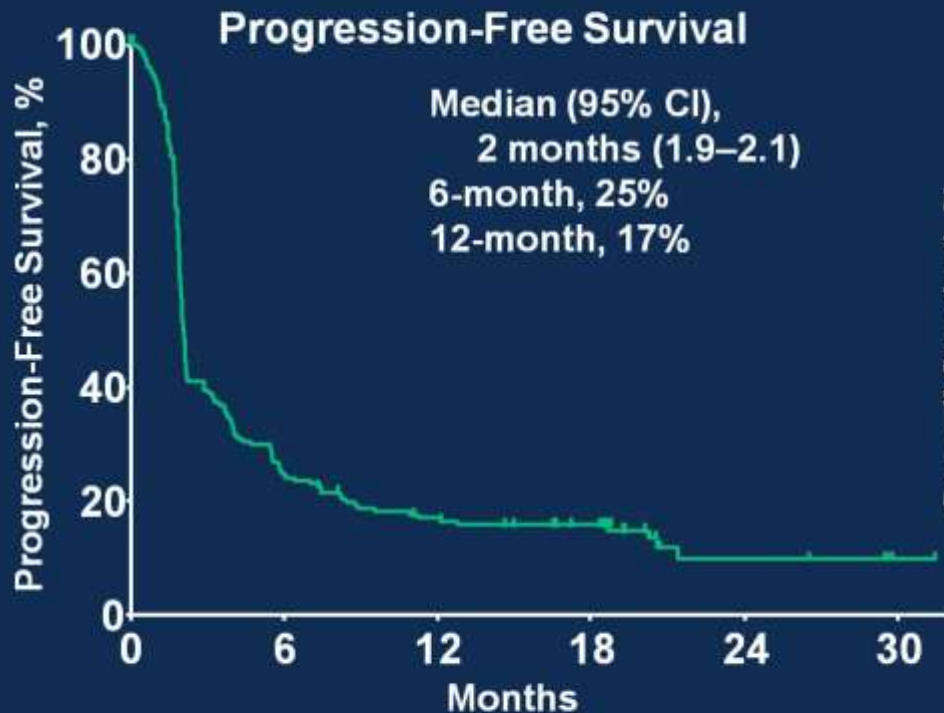
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Data cutoff date: Apr 26, 2016.

Based on RECIST v1.1 per central imaging vendor review (swimlane plot).

Only confirmed responses shown.

Progression-Free Survival† and Overall Survival



N = 192 47 30 21 5 1

192 109 69 37 11 2

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Data cutoff date: Apr 26, 2016.

†RECIST v1.1 by central imaging vendor review.

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KEYNOTE-055: Single Arm, Phase 2 Trial in R/M HNSCC After Progression on Platinum/Cetuximab

Patients

- Recurrent/metastatic HNSCC
- Resistant to platinum and cetuximab[†]
- Measurable disease (RECIST v1.1)
- ECOG PS 0-1

**Pembrolizumab
200 mg Q3W
Flat Dose**

Continue until:

- 24 months of treatment
- PD
- Intolerable toxicity
- Investigator/patient decision

**Safety and
Survival
Follow-up**

Response assessment: Every 6-9 weeks

Primary end points: ORR (RECIST v1.1, central imaging vendor) in all patients and PD-L1+ patients, safety

Secondary end points: ORR in HPV+ patients, PFS, OS, duration of response

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[†]Resistance defined as tumor progression or recurrence within 6 months of last platinum and cetuximab dose.

Baseline Characteristics

Characteristic	N = 171 [†] n (%)
Median age (range), years	61 (33–90)
Male	138 (81)
ECOG performance status	
0	48 (28)
1	120 (70)
2	3 (2)
HPV status [‡]	
Positive	71 (41)
Negative	100 (59)

Characteristic	N = 171 [†] n (%)
Median prior systemic therapies (range)	2 (1–6)
Prior lines of systemic therapy	
1	28 (16)
2	71 (42)
≥3	72 (42)

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Data cutoff date: Jan 29, 2016. [†]Includes patients who received ≥1 dose of pembrolizumab.
[‡]HPV status determined by the local institution. Patients with nonoropharyngeal disease were considered HPV negative.

Keynote-055 Response Rates

Table 3. Antitumor Activity of Pembrolizumab

Response Evaluation	All Patients* (N = 171)		HPV Positive† (n = 37)		HPV Negative† (n = 131)	
	No.	% (95% CI)‡	No.	% (95% CI)‡	No.	% (95% CI)‡
Overall response rate	28	16 (11 to 23)	6	16 (6 to 32)	20	15 (10 to 23)
Complete response	1	1 (0 to 3)	0	0 (0 to 10)	1	1 (0 to 4)
Partial response	27	16 (11 to 22)	6	16 (6 to 32)	19	15 (9 to 22)
Stable disease	33	19 (14 to 26)	6	16 (6 to 32)	26	20 (13 to 28)
Progressive disease	87	51 (43 to 59)	21	57 (40 to 73)	66	50 (42 to 59)
Nonevaluable§	4	2 (1 to 6)	0	0 (0 to 10)	4	3 (1 to 8)
Data unavailable	19	11 (7 to 17)	4	11 (3 to 25)	15	12 (7 to 18)

NOTE: Confirmed responses per Response Evaluation Criteria in Solid Tumors, version 1.1, per central imaging vendor review.

Abbreviation: HPV, human papillomavirus.

*Patients who received one or more doses of pembrolizumab.

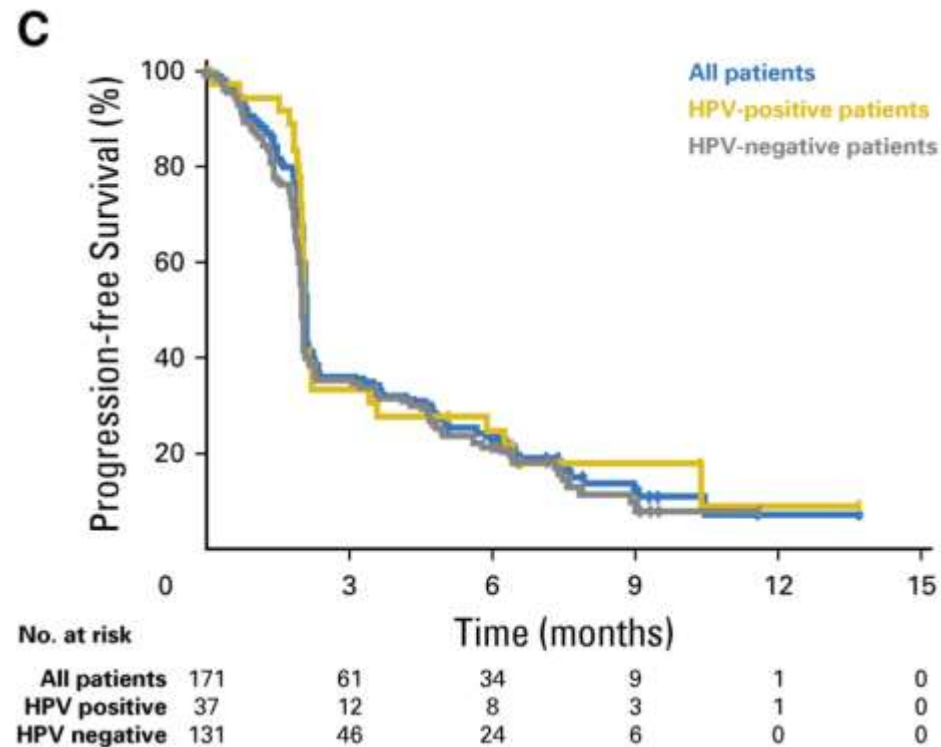
†HPV status determined using p16 immunohistochemistry for tumors of the oropharynx. Nonoropharyngeal tumors were considered HPV negative.

‡On the basis of binomial exact confidence interval method.

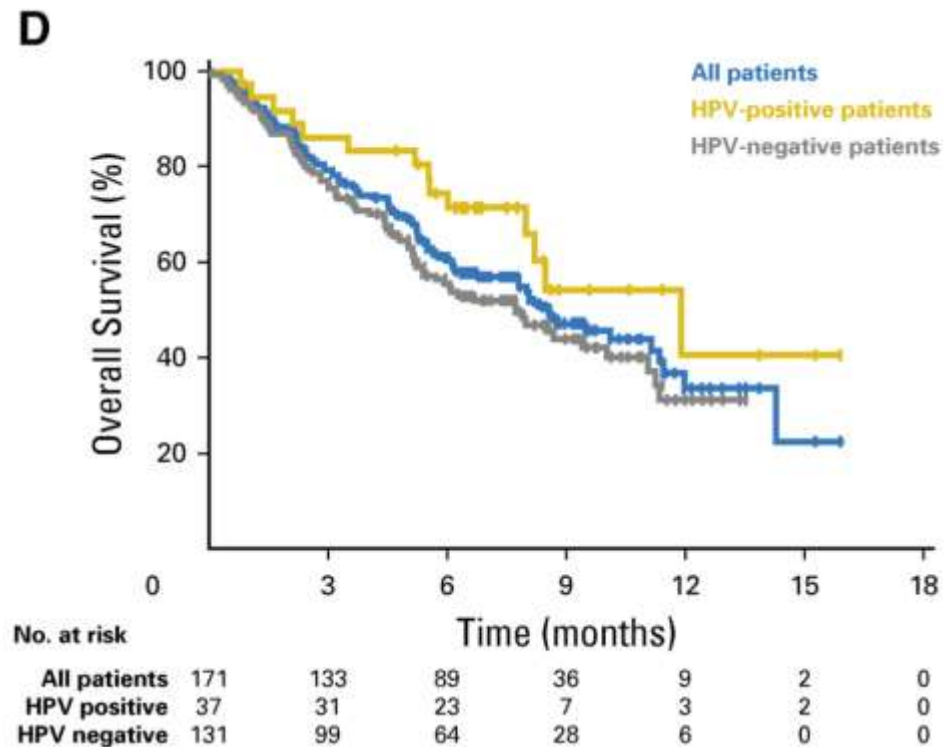
§Images were not evaluable.

||Data were unavailable because of death or withdrawal from the study before the first scheduled scan.

Keynote-055 PFS and OS



Median PFS: 2.1 months



Median OS: 8 months

Keynote-055 Efficacy According to PD-L1

Table 4. Antitumor Activity on the Basis of PD-L1 Expression Status

Response Evaluation	CPS \geq 1% (n = 140)		CPS < 1% (n = 26)		CPS \geq 50% (n = 48)		CPS < 50% (n = 118)	
	No.	% (95% CI)*	No.	% (95% CI)*	No.	% (95% CI)*	No.	% (95% CI)*
Overall response rate	25	18 (12 to 25)	3	12 (2 to 30)	13	27 (15 to 42)	15	13 (7 to 20)
Complete response	1	1 (0 to 4)	0	0 (0 to 13)	1	2 (0 to 11)	0	0 (0 to 3)
Partial response	24	17 (11 to 24)	3	12 (2 to 30)	12	25 (14 to 40)	15	13 (7 to 20)
Stable disease	23	16 (11 to 24)	7	27 (12 to 48)	7	15 (6 to 28)	23	20 (13 to 28)
Progressive disease	73	52 (44 to 61)	13	50 (30 to 70)	18	38 (24 to 53)	68	58 (48 to 67)
Nonevaluable	2	1 (0 to 5)	2	8 (1 to 25)	0	0 (0 to 7)	4	3 (1 to 9)
Data unavailable	17	12 (7 to 19)	1	4 (0 to 20)	10	21 (11 to 35)	8	7 (3 to 13)

NOTE. Confirmed responses per Response Evaluation Criteria in Solid Tumors, version 1.1, per central imaging vendor review.

Abbreviations: CPS, combined positive score; PD-L1, programmed death ligand 1.

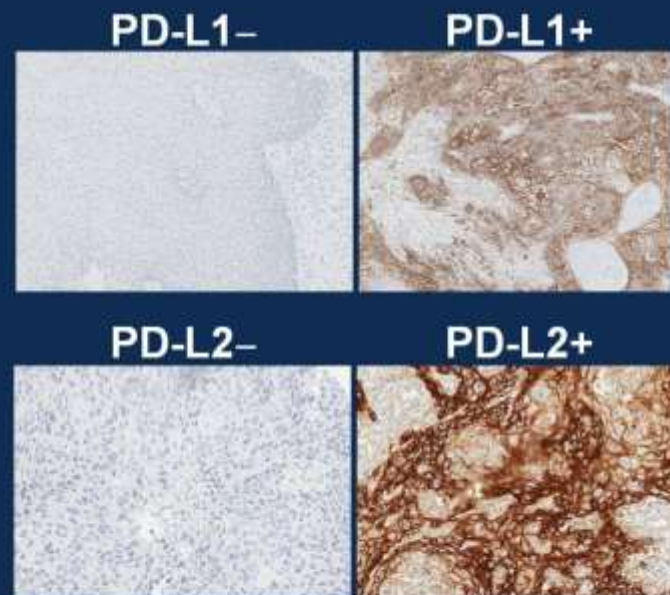
*On the basis of binomial exact confidence interval method.

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PD-L1 and PD-L2 Analyses in Pre-treatment Samples

- Determine the correlation of PD-L1 and PD-L2 expression in FFPE pre-treatment samples[†] with clinical outcomes in HNSCC patients who received ≥ 1 dose of pembrolizumab
 - PD-L1 (n = 188)
 - IHC[‡] using 22C3 (Merck) anti-PD-L1 antibody
 - Tumor proportion score (TPS) = tumor cells only
 - Combined positive score (CPS) = tumor and inflammatory cells
 - PD-L2 (n = 172)
 - IHC using 3G2 (Merck) anti-PD-L2 antibody
 - CPS = tumor and inflammatory cells
 - Scored 0%-100%
 - Positive, $\geq 1\%$
 - Negative, $< 1\%$



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FFPE, formalin fixed paraffin embedded.

[†]Newly obtained or archival tissue. [‡]Investigational version of PD-L1 IHC 22C3 pharmDx assay (Dako North America, Carpinteria, CA, USA).

Overall Response by PD-L1 Status

	PD-L1 Status	Non-responders n	Responders n	ORR % (95% CI)	P-value
TPS (tumor cells)	PD-L1+	101	22	18 (12–26)	0.461
	PD-L1–	53	12	19 (10–30)	
CPS (tumor and inflammatory cells)	PD-L1+	120	32	21 (15–28)	0.023
	PD-L1–	34	2	6 (1–19)	

Incorporation of inflammatory cells improves ability to detect responders

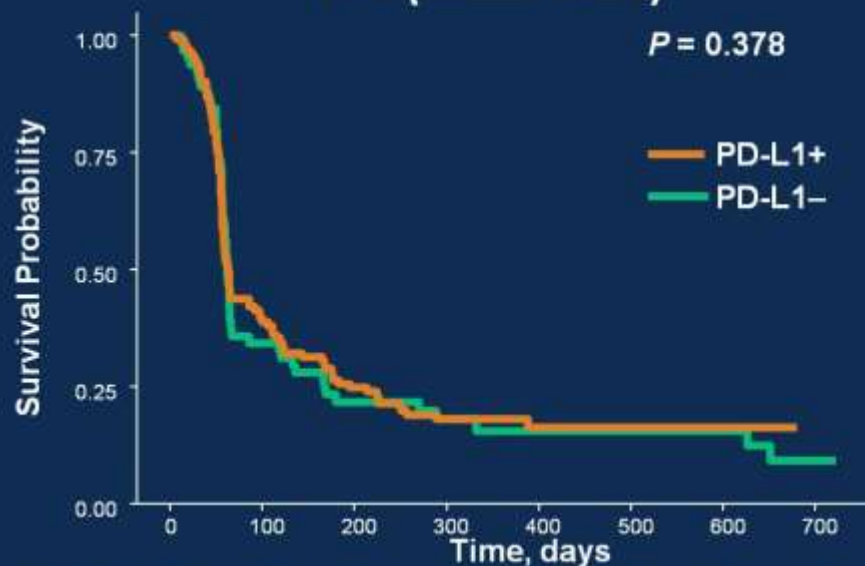
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P-values based on logistic regression one-sided testing.

Progression-Free Survival by PD-L1 Status

TPS (tumor cells)

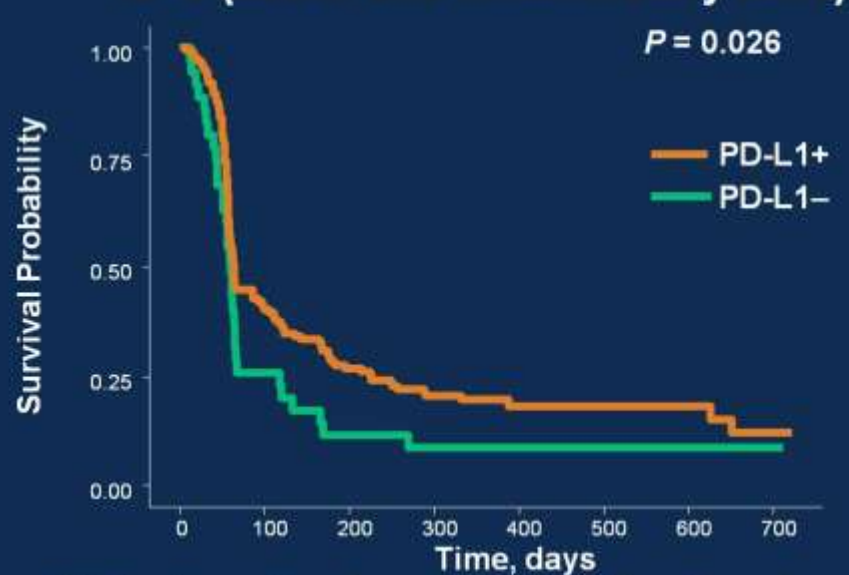


TPS <1	65	22	14	8	5	5	5	2
TPS ≥1	123	48	30	21	3	3	3	0

Median (95% CI)

- PD-L1+, 63 days (58-98)
- PD-L1-, 62 days (59-67)

CPS (tumor and inflammatory cells)



CPS <1	36	9	4	3	2	2	2	1
CPS ≥1	152	61	40	26	6	6	6	1

Median (95% CI)

- PD-L1+, 64 days (59-98)
- PD-L1-, 60 days (51-66)

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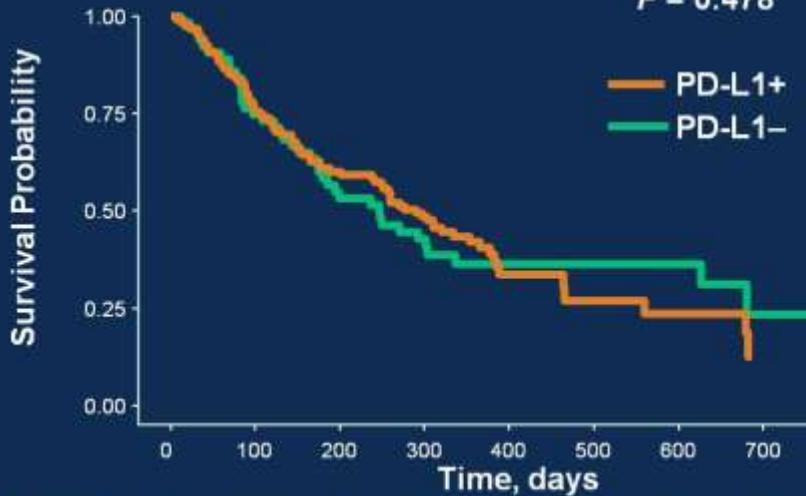
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P-values based on Cox regression one-sided testing.

Overall Survival by PD-L1 Status

TPS (tumor cells)

P = 0.478



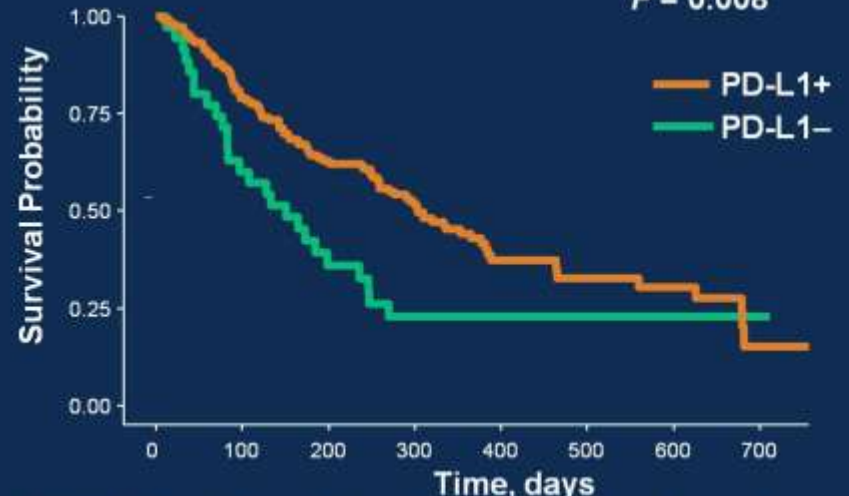
TPS <1	65	46	31	21	9	9	8	2
TPS ≥1	123	88	69	53	16	8	6	0

Median (95% CI)

- PD-L1+, 290 days (241-377)
- PD-L1-, 246 days (174-626)

CPS (tumor and inflammatory cells)

P = 0.008



CPS <1	36	21	11	7	3	3	2	1
CPS ≥1	152	113	89	67	22	14	12	1

Median (95% CI)

- PD-L1+, 303 days (259-385)
- PD-L1-, 151 days (84-247)

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P-values based on Cox regression one-sided testing.

Overall Response Rate by PD-L2 Status

		Non-responders n	Responders n	ORR % (95% CI)	P-value
CPS (tumor and inflammatory cells)	PD-L2+	86	25	23 (15–31)	0.022
	PD-L2–	55	6	10 (4–20)	

PD-L2 expression on tumor and inflammatory cells is predictive of response to pembrolizumab

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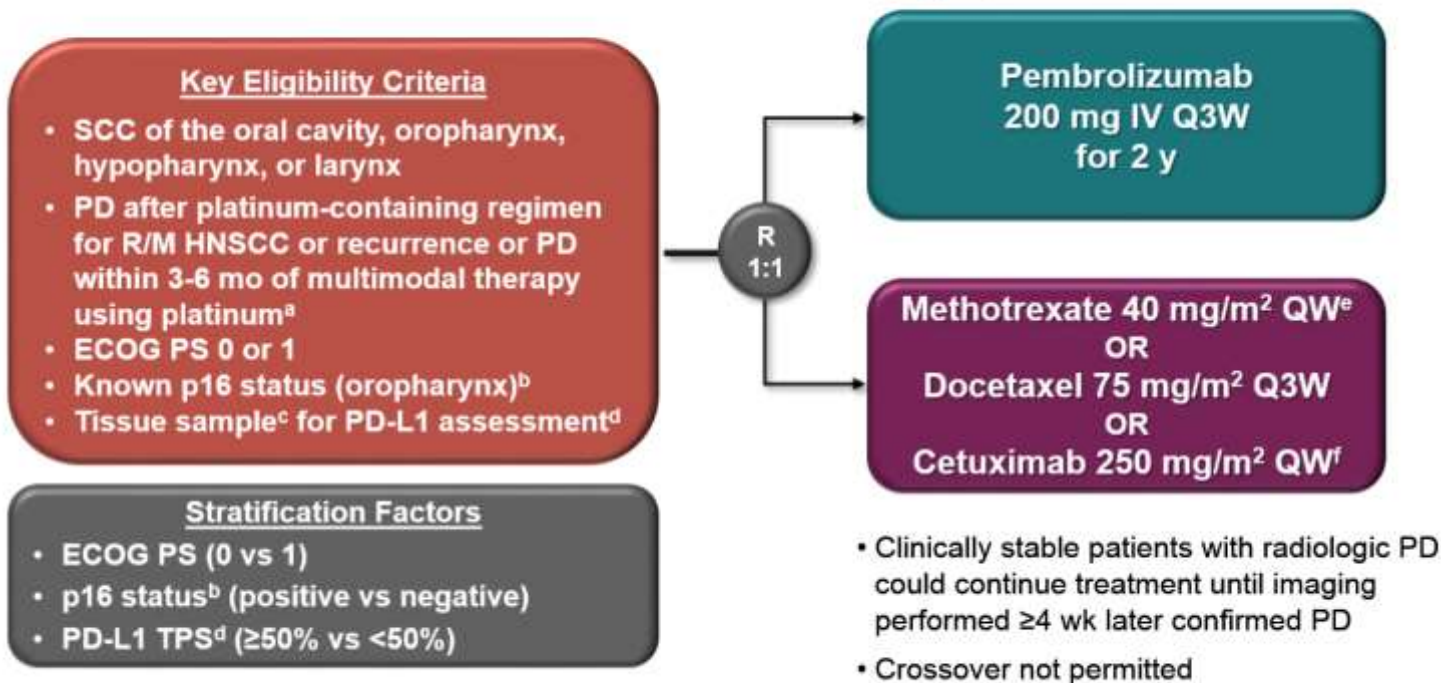
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P-values based on logistic regression one-sided testing.

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Phase 3 KEYNOTE-040 Study (NCT02252042)



^aLimit of 2 prior therapies for R/M HNSCC. ^bAssessed using the CInTec p16 Histology assay (Ventana); cutpoint for positivity = 70%.

^cNewly collected preferred. ^dAssessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent Technologies). TPS = tumor proportion score = % of tumor cells with membranous PD-L1 expression.

^eCould be increased to 60 mg/m² QW in the absence of toxicity. ^fFollowing a loading dose of 400 mg/m².

Analysis Populations and End Points

Analysis Populations

- Intention-to-treat (ITT)
- PD-L1 combined positive score (CPS) $\geq 1^a$
 - Previously reported as and equivalent to CPS $\geq 1\%$
 - CPS = number of PD-L1–positive cells (tumor cells, lymphocytes, macrophages) divided by total number of tumor cells $\times 100$
- PD-L1 tumor proportion score (TPS) $\geq 50\%^a$
 - TPS = percentage of tumor cells with membranous PD-L1 expression

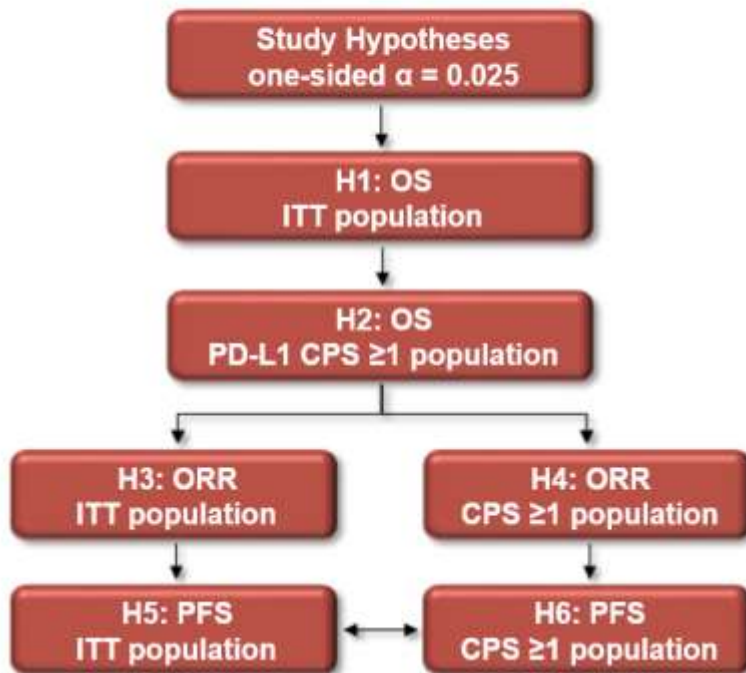
Key End Points

- Primary: OS in the ITT population
- Secondary
 - OS in the CPS ≥ 1 population
 - PFS^b in the ITT and CPS ≥ 1 populations
 - ORR^b in the ITT and CPS ≥ 1 populations
 - DOR^b in the ITT and CPS ≥ 1 populations
 - Safety and tolerability
- Predefined exploratory
 - OS, PFS,^b ORR,^b and DOR^b in the TPS $\geq 50\%$ population

^aPD-L1 assessed at a central laboratory in newly collected (preferred) or archival tumor samples using the PD-L1 IHC 22C3 pharmDx assay (Agilent Technologies).

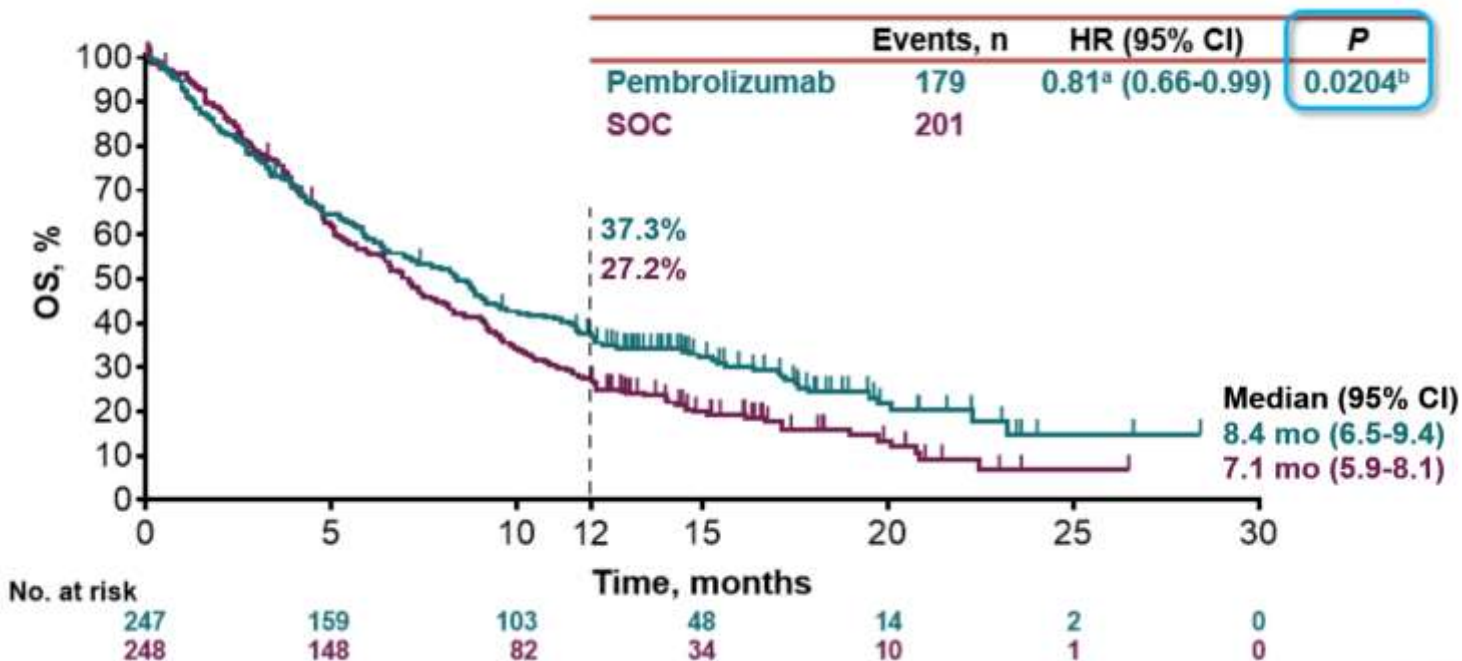
^bAssessed per RECIST v1.1 by blinded, independent radiology review.

Statistical Considerations



- Multiplicity strategy
 - Family-wise alpha strictly controlled at **0.025 (one sided)**
 - Alpha allocated in stepwise fashion
- Final analysis
 - Performed after **380 OS** events in 495 patients
 - Data cutoff date: May 15, 2017
 - **Efficacy boundary**
 - OS, ITT: one-sided $\alpha = 0.0175$, HR ~0.80
 - OS, CPS ≥ 1 : one-sided $\alpha = 0.0178$, HR ~0.78
 - Tested only if efficacy boundary in ITT population reached

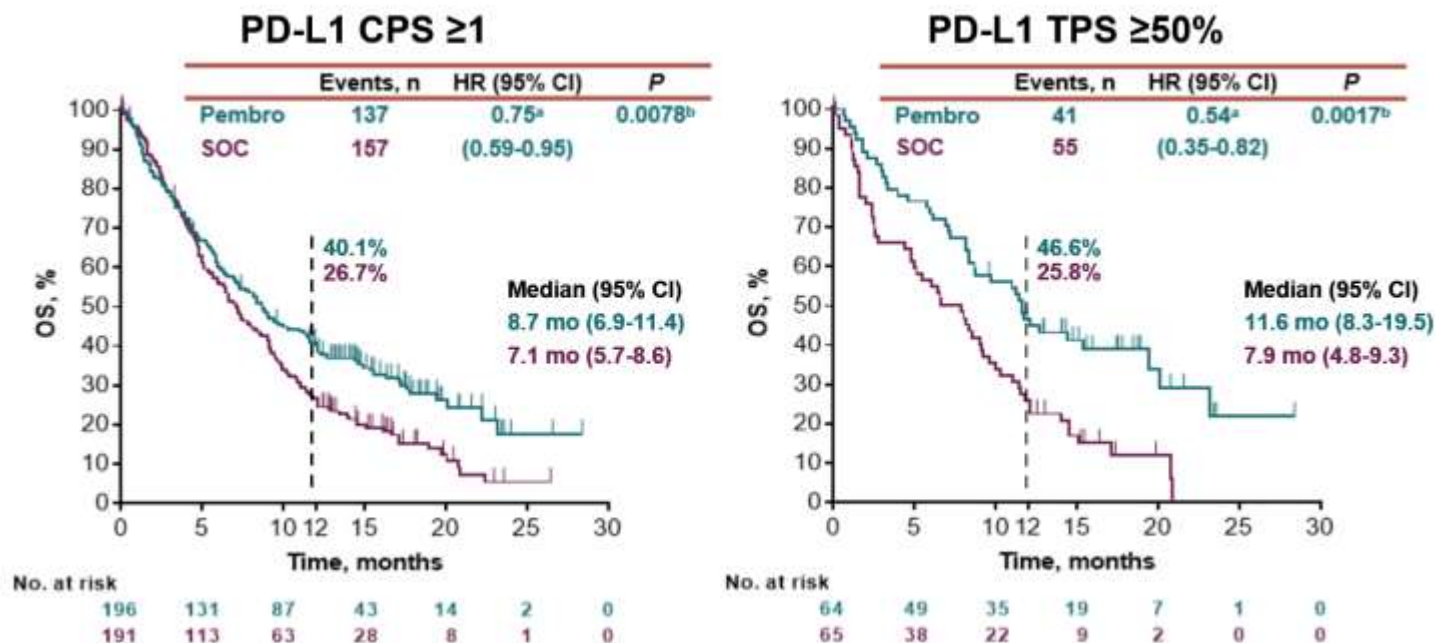
Overall Survival in ITT Population



^aCox proportional hazards model with treatment as a covariate stratified by the randomization stratification factors. Initially reported data: HR 0.82 (95% CI, 0.67-1.01), P = 0.0316. After the initial report, updated survival data were obtained for 4 patients. ^bOne-sided P value based on the log-rank test stratified by the randomization stratification factors. Data cutoff date: May 15, 2017.



Overall Survival by PD-L1 Expression

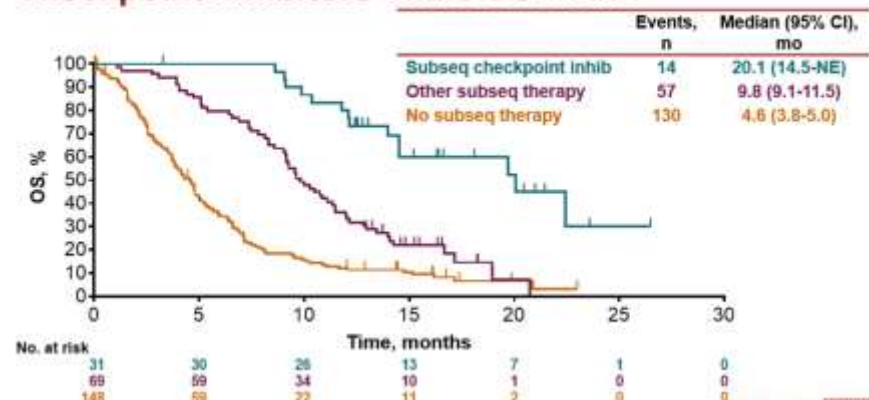


^aCox proportional hazards model with treatment as a covariate stratified by the randomization stratification factors.
^bNominal one-sided P value based on the log-rank test stratified by the randomization stratification factors.
 Data cutoff date: May 15, 2017.

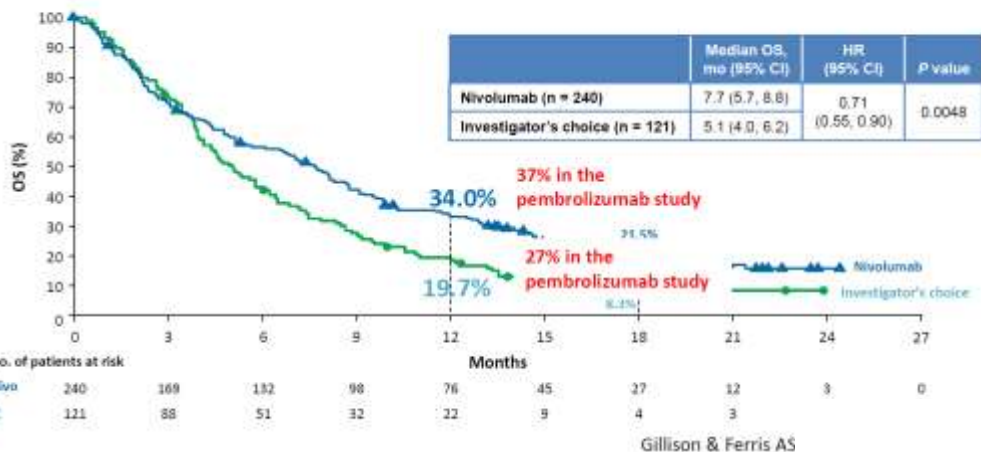
Subsequent Therapy

Type, n (%)	Pembrolizumab N = 247	SOC N = 248
Any ^a	84 (34.0)	100 (40.3)
Chemotherapy	70 (28.3)	76 (30.6)
EGFR inhibitor	20 (8.1)	19 (7.7)
Kinase inhibitor	4 (1.6)	8 (3.2)
Immune checkpoint inhibitor	11 (4.5)	31 (12.5)
Other immunotherapy	5 (2.0)	1 (0.4)
Other	2 (0.8)	2 (0.8)

Overall Survival: Effect of Subsequent Immune Checkpoint Inhibitors in the SOC Arm



Overall survival



Standard arm

	Pembrolizumab	Nivolumab
Cetuximab	30%	10%
Docetaxel	42%	43%
Methotrexate	27%	38%

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Phase 3 CheckMate 141 Study Design

Nivolumab in R/M SCCHN After Platinum Therapy

Randomized, global, phase 3 trial of the efficacy and safety of nivolumab vs investigator's choice in patients with R/M SCCHN

Key Eligibility Criteria

- R/M SCCHN of the oral cavity, pharynx, or larynx
- Progression on or within 6 months of last dose of platinum-based therapy
- Irrespective of no. of prior lines of therapy
- Documentation of p16 to determine HPV status (oropharyngeal)
- Regardless of PD-L1 status^a

Stratification factor

- Prior cetuximab treatment

R
2:1

Nivolumab
3 mg/kg IV Q2W

Investigator's Choice

- Methotrexate 40 mg/m² IV weekly
- Docetaxel 30 mg/m² IV weekly
- Cetuximab 400 mg/m² IV once, then 250 mg/m² weekly

Primary endpoint

- OS

Other endpoints

- PFS
- ORR
- Safety
- DOR
- Biomarkers
- Quality of life

^aTissue required for testing

DOR = duration of response; IV = intravenous; ORR = objective response rate; PFS = progression-free survival; Q2W = once every 2 weeks; R = randomized. Clinicaltrials.gov NCT02105636.

Demographics

Nivolumab in R/M SCCHN After Platinum Therapy

	Nivolumab (n = 240)	Investigator's Choice (n = 121)	Total (N = 361)
Median age, years	59.0	61.0	60.0
<65, n (%)	172 (71.7)	76 (62.8)	248 (68.7)
Smoking/tobacco use, n (%)			
Current/former	191 (79.6)	85 (70.2)	276 (76.5)
Never	39 (16.3)	31 (25.6)	70 (19.4)
ECOG performance status, n (%)			
0	49 (20.4)	23 (19.0)	72 (19.9)
1	189 (78.8)	94 (77.7)	283 (78.4)
≥2	1 (0.4)	3 (2.5)	4 (1.1)
Not reported	1 (0.4)	1 (0.8)	2 (0.6)
Number of prior lines of systemic cancer therapy, n (%)			
1	106 (44.2)	58 (47.9)	164 (45.4)
2	80 (33.3)	45 (37.2)	125 (34.6)
≥3	54 (22.5)	18 (14.9)	72 (19.9)
p16 status^{a,b}, n (%)			
Positive	63 (26.3)	29 (24.0)	92 (25.5)
Negative	50 (20.8)	36 (29.8)	86 (23.8)
Not tested	127 (52.9)	56 (46.3)	183 (50.7)

ECOG = Eastern Cooperative Oncology Group.

^aRequired from patients with oropharyngeal cancer only. ^bDetermined via p16 immunohistochemistry.

Treatment Administration and Patient Disposition

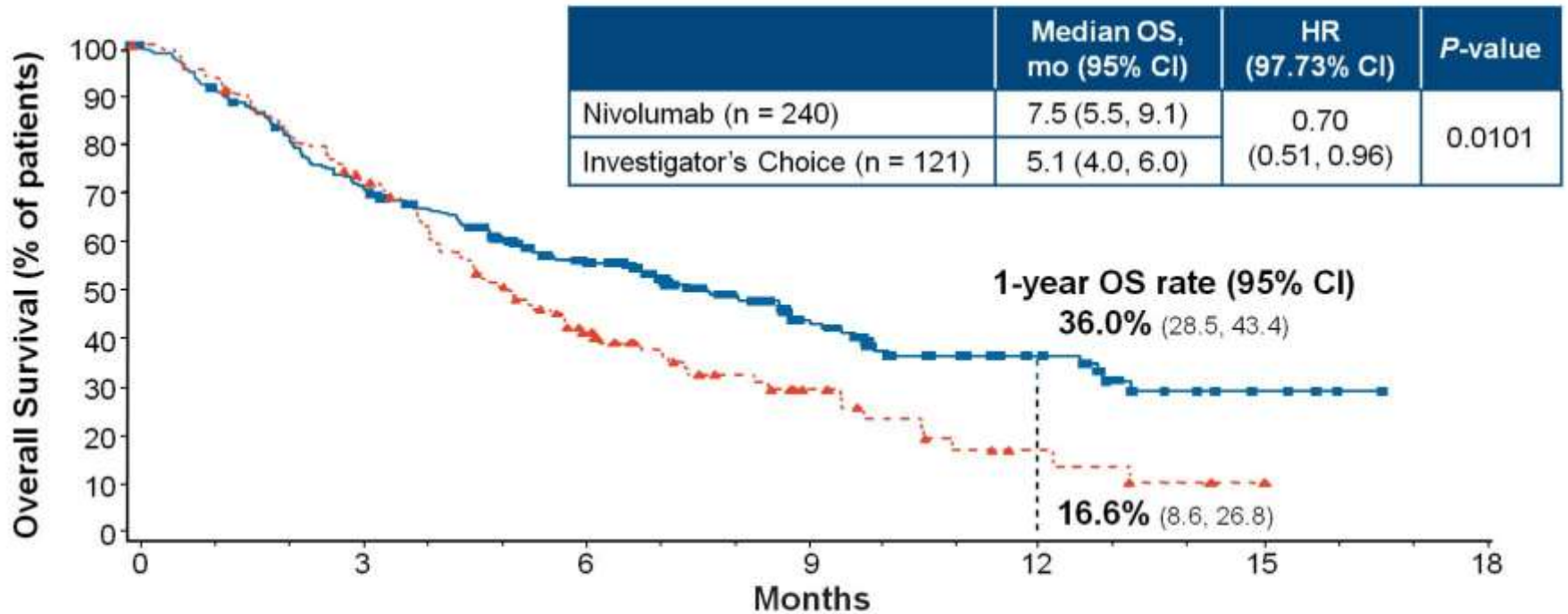
Nivolumab in R/M SCCHN After Platinum Therapy

	Nivolumab (n = 240)	Investigator's Choice (n = 121)	Total (N = 361)
Investigator's choice therapy, n (%)			
Methotrexate	–	52 (43.0)	–
Docetaxel	–	54 (44.6)	–
Cetuximab	–	15 (12.4)	–
Ongoing treatment, n (%)	41 (17.4)	3 (2.7)	44 (12.7)
Not continuing treatment, n (%)	195 (82.6)	108 (97.3)	303 (87.3)
Disease progression	162 (68.6)	83 (74.8)	245 (70.6)
Study drug toxicity	9 (3.8)	11 (9.9)	20 (5.8)
Adverse event not related to study drug	12 (5.1)	3 (2.7)	15 (4.3)
Other ^a	9 (3.8)	11(9.9)	20 (5.8)
Not reported	3 (1.3)	0	3 (0.9)

^aOther includes patient request to discontinue, withdrawal of consent, non-compliance and maximum clinical benefit.

Overall Survival

Nivolumab in R/M SCCHN After Platinum Therapy

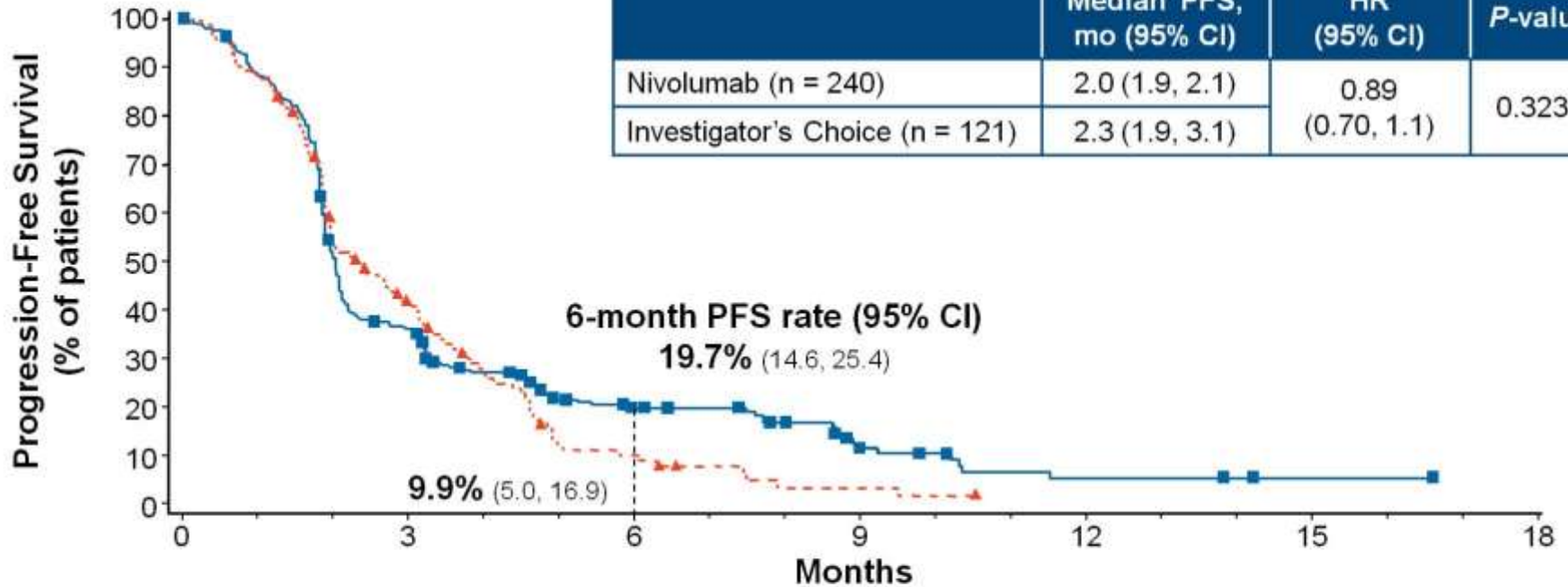


No. at Risk	0	3	6	9	12	15	18
Nivolumab	240	167	109	52	24	7	0
Investigator's Choice	121	87	42	17	5	1	0

Progression-Free Survival

Nivolumab in R/M SCCHN After Platinum Therapy

	Median PFS, mo (95% CI)	HR (95% CI)	P-value
Nivolumab (n = 240)	2.0 (1.9, 2.1)	0.89 (0.70, 1.1)	0.3236
Investigator's Choice (n = 121)	2.3 (1.9, 3.1)		



No. at Risk	0	3	6	9	12	15	18
Nivolumab	240	79	32	12	4	1	0
Investigator's Choice	121	43	9	2	0	0	0

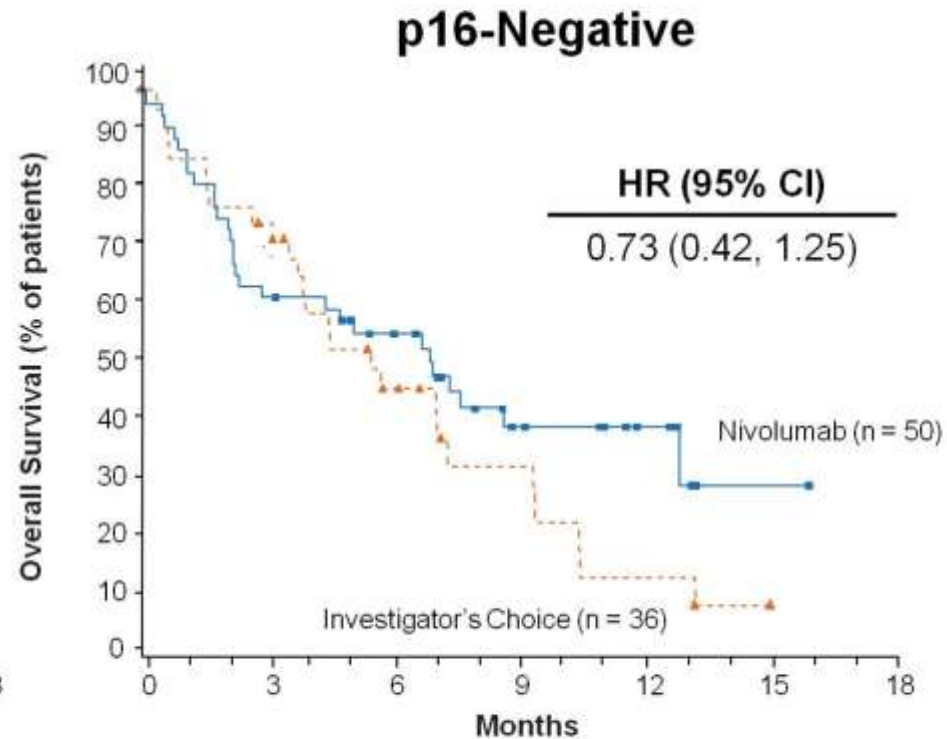
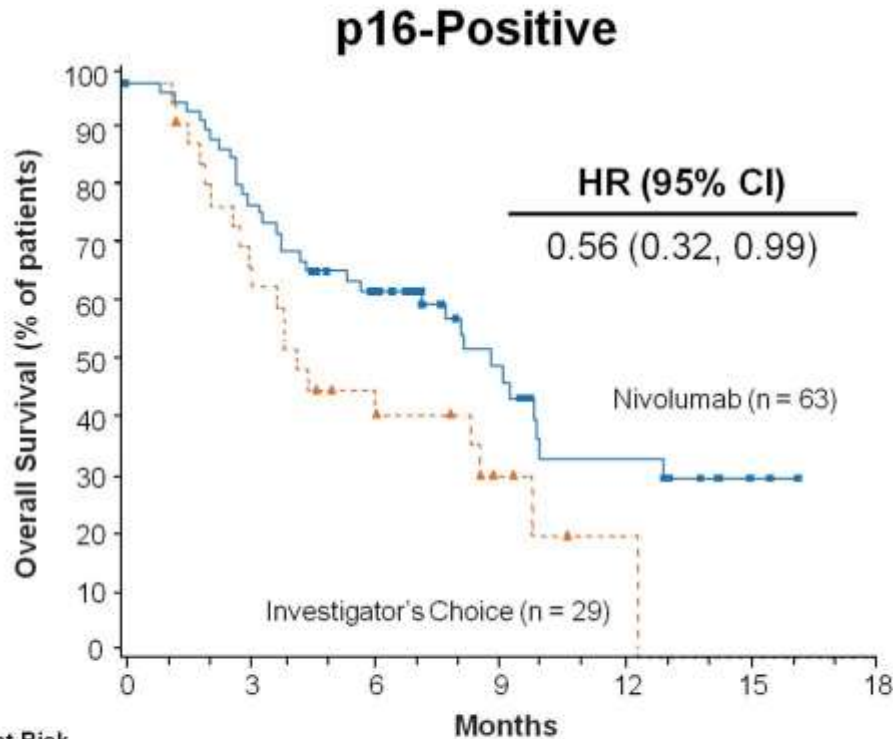
Objective Response Rate

Nivolumab in R/M SCCHN After Platinum Therapy

	Nivolumab (n = 240)	Investigator's Choice (n = 121)
Objective response rate, n (%)	32 (13.3)	7 (5.8)
95% CI	9.3, 18.3	2.4, 11.6
Best overall response, n (%)		
Complete response	6 (2.5)	1 (0.8)
Partial response	26 (10.8)	6 (5.0)
Stable disease	55 (22.9)	43 (35.5)
Progressive disease	100 (41.7)	42 (34.7)
Not determined	53 (22.1)	29 (24.0)
Time to response, mo		
Median (range)	2.1 (1.8–7.4)	2.0 (1.9–4.6)

Overall Survival by p16 Status

Nivolumab in R/M SCCHN After Platinum Therapy

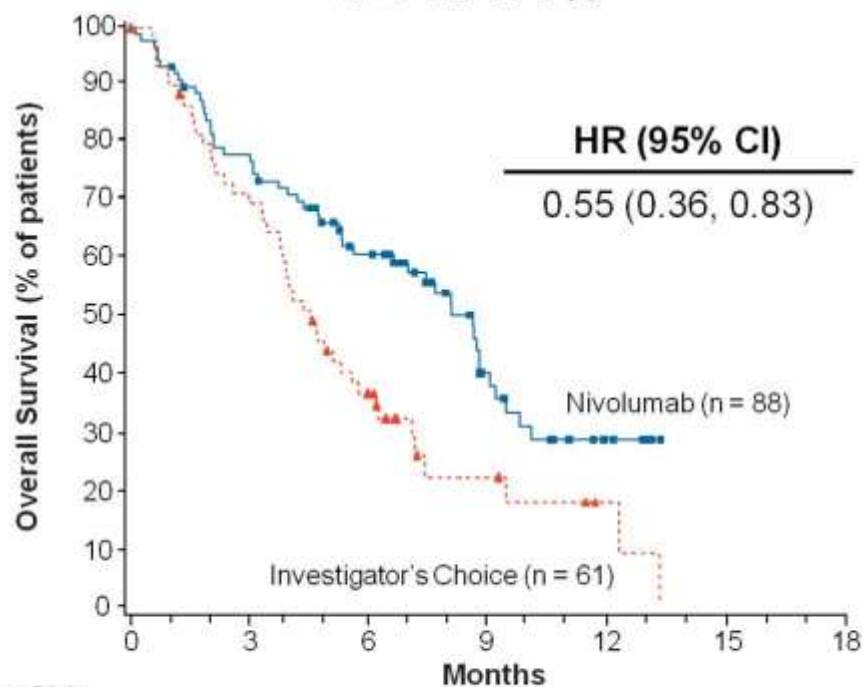


No. at Risk	0	3	6	9	12	15	18
Nivolumab	63	49	35	18	10	3	0
Investigator's Choice	29	20	11	4	1	0	0

No. at Risk	0	3	6	9	12	15	18
Nivolumab	50	32	25	12	6	1	0
Investigator's Choice	36	26	13	7	3	1	0

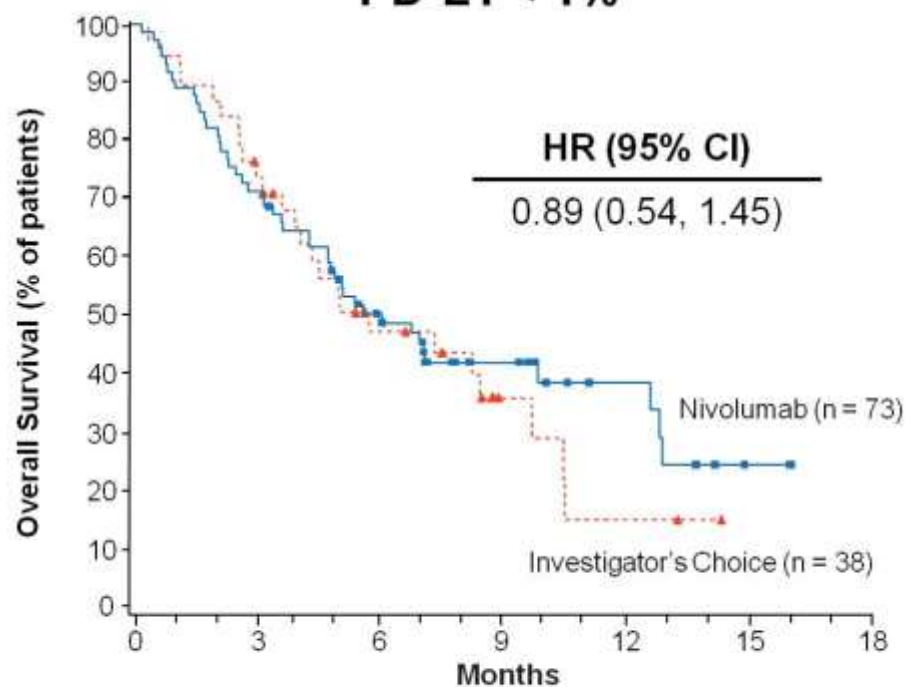
Overall Survival by Tumor PD-L1 Expression at 1% Nivolumab in R/M SCCHN After Platinum Therapy

PD-L1 \geq 1%



No. at Risk	0	3	6	9	12	15	18
Nivolumab	88	67	44	18	6	0	0
Investigator's Choice	61	42	20	6	2	0	0

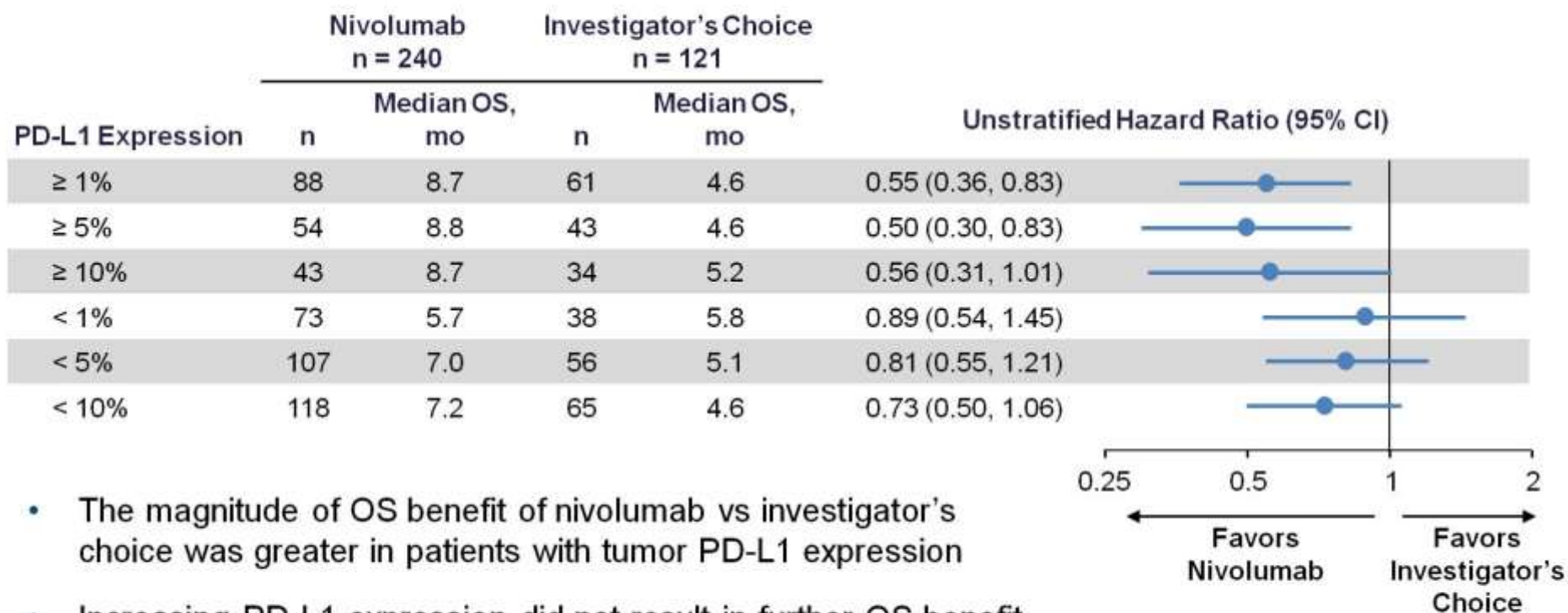
PD-L1 < 1%



No. at Risk	0	3	6	9	12	15	18
Nivolumab	73	52	33	17	8	3	0
Investigator's Choice	38	29	14	6	2	0	0

Overall Survival by Tumor PD-L1 Expression Level

Nivolumab in R/M SCCHN After Platinum Therapy



- The magnitude of OS benefit of nivolumab vs investigator's choice was greater in patients with tumor PD-L1 expression
- Increasing PD-L1 expression did not result in further OS benefit

Objective Response Rate by PD-L1 Expression

Nivolumab in R/M SCCHN After Platinum Therapy

PD-L1 Expression Level	Objective Response Rate			
	Nivolumab		Investigator's Choice	
	n/N	%	n/N	%
≥ 1%	15/88	17.0	1/61	1.6
≥ 5%	12/54	22.2	1/43	2.3
≥ 10%	12/43	27.9	1/34	2.9
< 1%	9/73	12.3	4/38	10.5
< 5%	12/107	11.2	4/56	7.1
< 10%	12/118	10.2	4/65	6.2

Treatment-Related Adverse Events

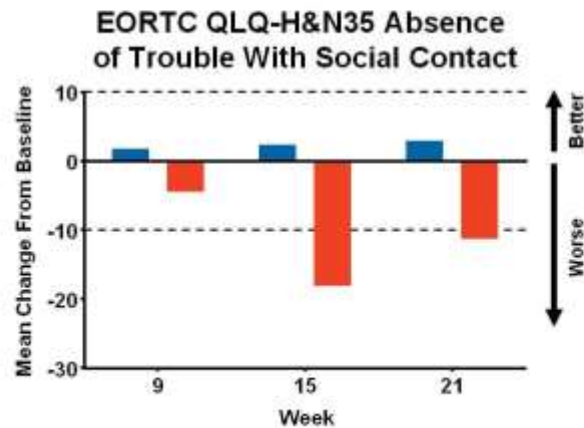
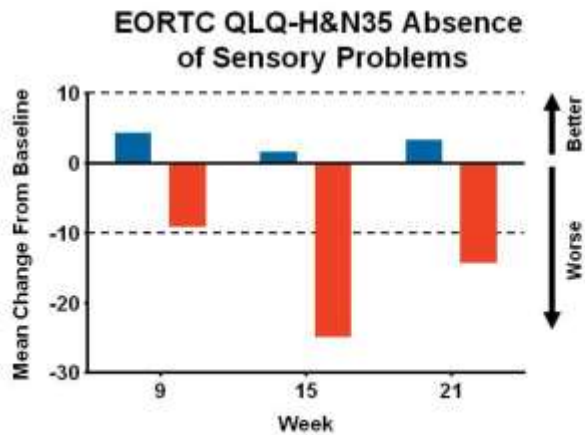
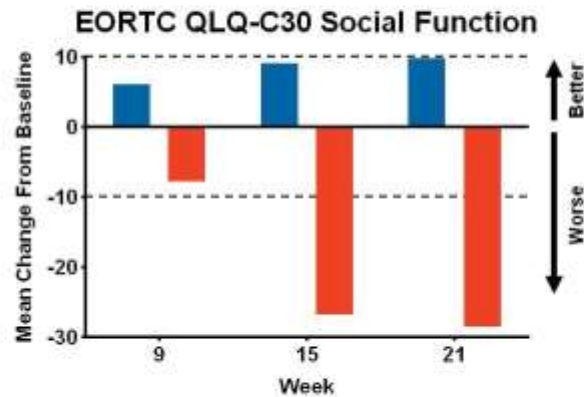
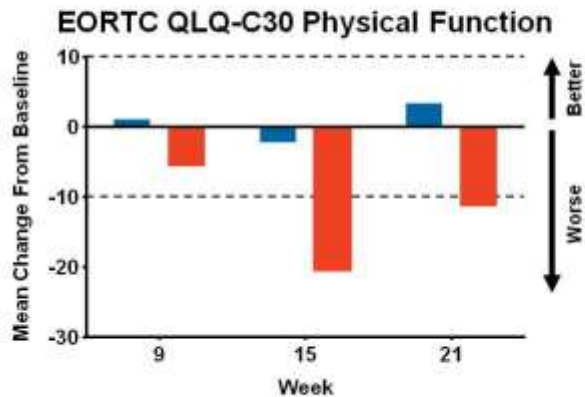
Nivolumab in R/M SCCHN After Platinum Therapy

Event	Nivolumab (n = 236)		Investigator's Choice (n = 111)	
	Any grade n (%)	Grade 3–4 n (%)	Any grade n (%)	Grade 3–4 n (%)
Any treatment-related AE in ≥ 10% of patients ^a	139 (58.9)	31 (13.1)	86 (77.5)	39 (35.1)
Fatigue	33 (14.0)	5 (2.1)	19 (17.1)	3 (2.7)
Nausea	20 (8.5)	0	23 (20.7)	1 (0.9)
Diarrhea	16 (6.8)	0	15 (13.5)	2 (1.8)
Anemia	12 (5.1)	3 (1.3)	18 (16.2)	5 (4.5)
Asthenia	10 (4.2)	1 (0.4)	16 (14.4)	2 (1.8)
Mucosal inflammation	3 (1.3)	0	14 (12.6)	2 (1.8)
Alopecia	0	0	14 (12.6)	3 (2.7)
Treatment-related select AEs				
Skin	37 (15.7)	0	14 (12.6)	2 (1.8)
Endocrine	18 (7.6)	1 (0.4)	1 (0.9)	0
Gastrointestinal	16 (6.8)	0	16 (14.4)	2 (1.8)
Hepatic	5 (2.1)	2 (0.8)	4 (3.6)	1 (0.9)
Pulmonary	5 (2.1)	2 (0.8)	1 (0.9)	0
Hypersensitivity/infusion reaction	3 (1.3)	0	2 (1.8)	1 (0.9)
Renal	1 (0.4)	0	2 (1.8)	1 (0.9)

^aOne Grade 5 event (hypercalcemia) in the nivolumab arm and one Grade 5 event (lung infection) in the investigator's choice arm were reported. A second death occurred in the nivolumab arm subsequent to pneumonitis.

Quality of Life and Symptom Burden

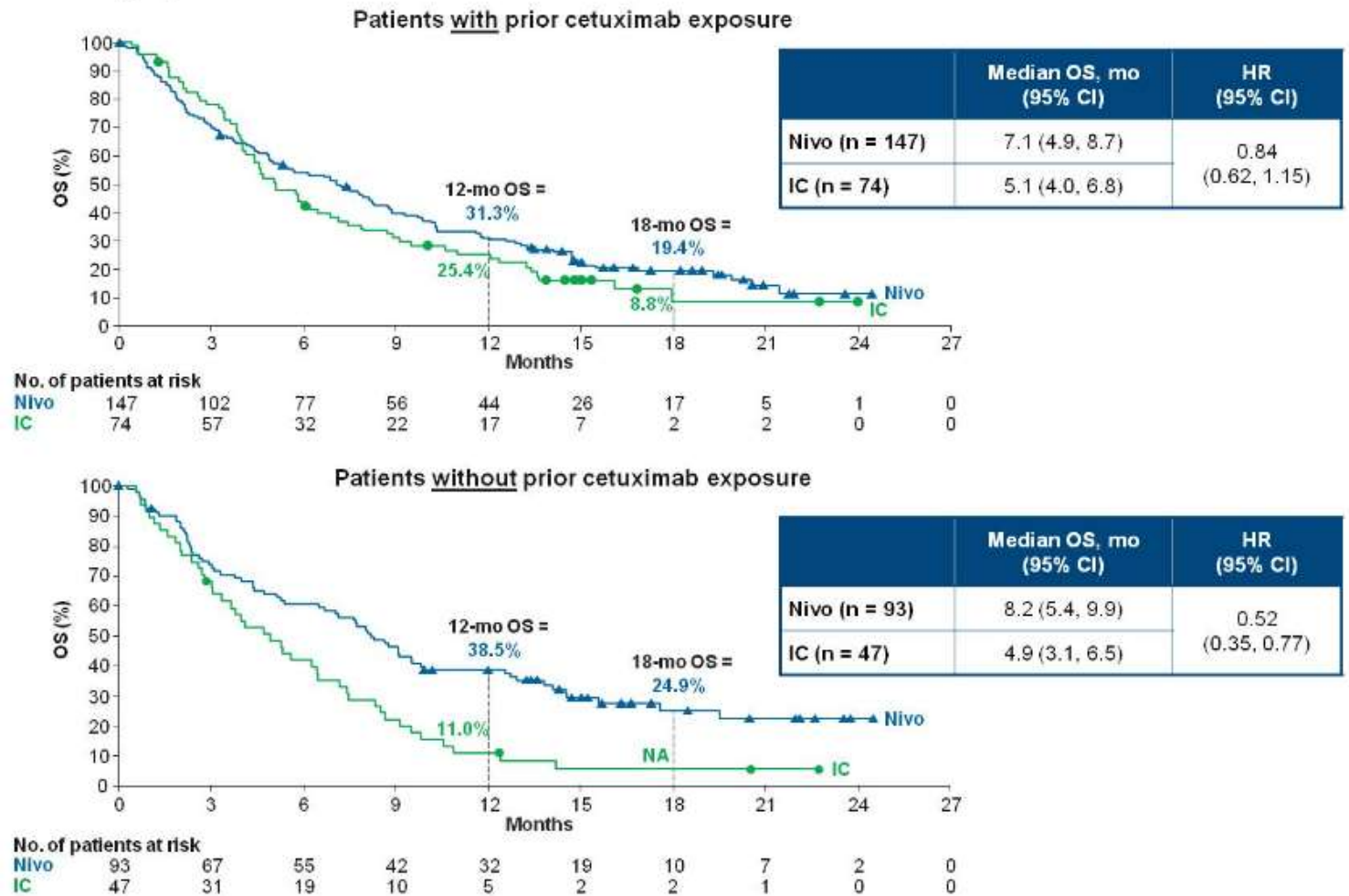
Nivolumab in R/M SCCHN After Platinum Therapy



■ Nivolumab
■ Investigator's Choice

- Nivolumab stabilized PROs while investigator's choice led to meaningful declines in function and worsening of symptoms

Figure 2. OS by prior cetuximab exposure

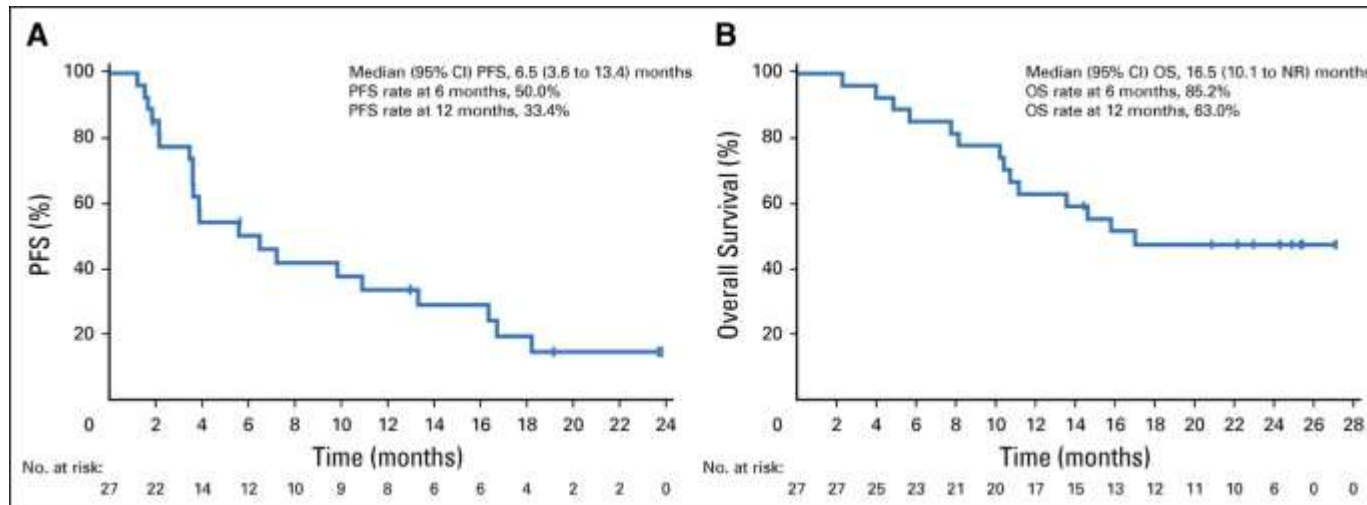


Outline

- Immunotherapy for recurrent / metastatic HNSCC
 - Keynote-012 clinical data (pembrolizumab)
 - Keynote-055 (pembrolizumab)
 - Keynote-012 biomarker data (pembrolizumab)
 - Checkmate 141 (nivolumab)
- Immunotherapy for recurrent / metastatic NPC
 - Pembrolizumab

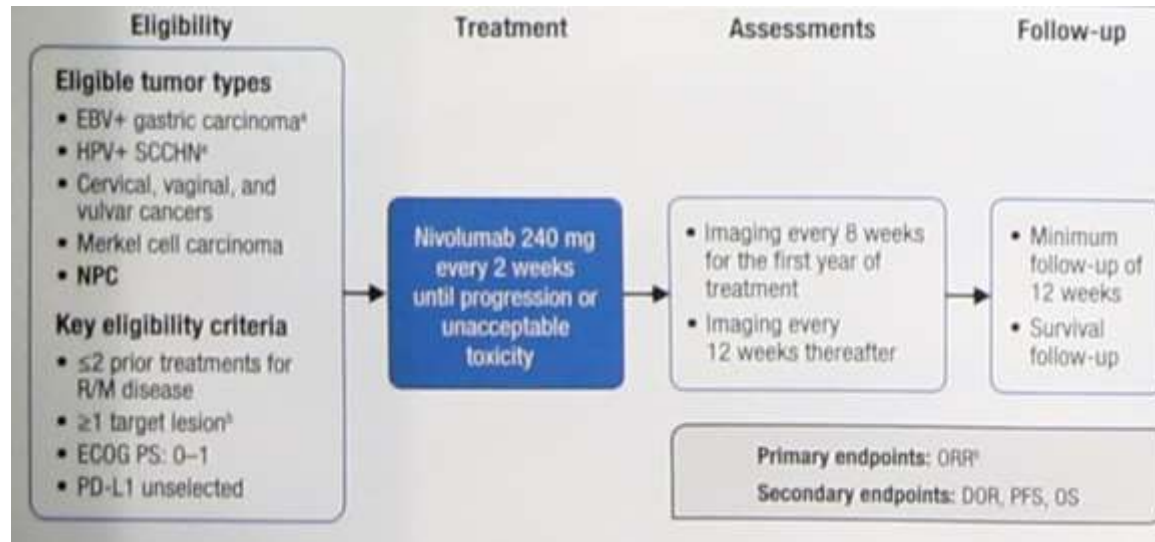
Pembrolizumab in NPC – Keynote 028

- Phase Ib, multicenter, open-label study
- Previously treated NPC - PD-L1 positive by IHC (CPS $\geq 1\%$)
- Pembrolizumab 10 mg/kg IV every 2 weeks
- 27 patients
 - 63% Asian
 - 22% keratinizing carcinomas
- **Efficacy:**
 - 26% response rate
 - Median duration of response 17 months



Checkmate-358

Nivolumab in Non-Keratinizing NPC



	Patients (N = 24)
Best overall response, n (%)	
Complete response	0
Partial response	5 (20.8)
Stable disease	6 (25.0)
Progressive disease	13 (54.2)
ORR, n (%)	5 (20.8)
[95% CI]	[7.1, 42.2]
Disease control rate, n (%)	11 (45.8)
Time to response, range, months	1.4–5.7
Duration of response, median (range), months	NR (0–5.5+)
<small> = Response ongoing CI = confidence interval, NR = not reached </small>	

Summary

- Pembrolizumab with 14-18% response rate in heavily pre-treated patient population, and clinically meaningful (but not statistically different) improvements in overall survival compared to standard therapy
- Nivolumab with 13% response rate in heavily pre-treated patient population and significant improvement in overall survival and quality of life compared to standard therapy
- Efficacy of PD-1 inhibitors may be slightly higher in HPV-positive patients, but this is up for debate
- PD-L1 expression predicts for better outcomes, in general
- Pembrolizumab and nivolumab active in recurrent/metastatic NPC